Information Services Board Presentation on the Department of Corrections Offender Management Network Information Project

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Presenters
Harold Clarke, Secretary, Department of Corrections
Tracy Guerin, Deputy Secretary, Department of Corrections

Purpose of Appearance
The Department of Corrections (DOC) will review the status of its Offender Management Network Information (OMNI) project.

Previous ISB Appearance
March 10, 2005:
Harold Clarke, Carol Meraji, and Kathy Pickens-Rucker of DOC and Mike Plymack of IBM reviewed OMNI Phase II project status, including upcoming milestones, project metrics and risk assessment activities. ISB staff made the following recommendations; 1) DOC develop contingency plans and mitigation strategies for preventing further schedule slippage, 2) DOC deliver a report to the Board outlining their response to the Governor's Office review, and 3) DOC develop an investment plan for OMNI Phase III and submit for DIS and ISB review and approval. The Board requested DOC provide documentation on the 17 original performance test standards, as compared to the current performance test standards. The Board requested DOC return to this current meeting to discuss the management structure and business case for OMNI Phase III.

Current Project Status
Phase II is on schedule. Since the previous reporting, IBM delivered task D-7 on-time which represents the final development code for Phase II and IBM's test plans for determining code quality. DOC reviewed this deliverable and noted a series of issues that were organized into four areas, including 1) Defect severity level designation, 2) Test plan execution, 3) Test coverage adequacy, and 4) the absence of an action plan to complete agreements reached in D-6. DOC and IBM have agreed to address and resolve these issues with the delivery of D-8.

Task D-8 represents the porting of the NT-based development code to the mainframe and defect testing by IBM in preparation for User Acceptance Testing (UAT).   D-8 is projected to complete on May 4, 2005. Task D-9 will include DOC conducting UAT. D-9 is projected to finish coincident with D-10 (final delivery and acceptance) on June 28, 2005.

With delivery of D-8, IBM will begin a planned "wind down" of their project team. IBM will remove team members whose work is complete while ensuring adequate staff remain located in Olympia to correct agreed-upon defects resulting from UAT, as well as, provide continued project management.

Following acceptance of D-7, DOC paid IBM $1.1M. The remaining Phase II budget is $3.6 M, allocated for the IBM contract (D-8 through D-11), direct project costs and change request costs. The 2005 Legislature has approved OMNI Phase III funding for Fiscal Year 2006 in the amount of $11.25 million. Additional OMNI Phase III funding must be requested via the supplemental budget process.

Staff Recommendations

Recommendations for Phase II

1. **DOC and IBM executive management ensure work as defined in the current contract is completed and accepted at the end of Phase II.**

2. **The ISB establish a panel of three (3) board members to provide guidance to the Department which will help ensure the successful replacement of the OBTS system no later than June 30, 2007.**

   This panel will review the overall status of the project at the completion of Phase II, as well as, plans for the implementation of Phase III.

Recommendations for Phase III

3. **DOC and IBM identify methods to improve the working relationship between project staff and implement these as part of the Phase III project plan.**

4. **DOC submit the following for ISB approval prior to beginning OMNI Phase III project work: 1) a Phase III Investment Plan, and 2) an approval request of their IT delegated authority to expend funds for OMNI Phase III.**

   The investment plan should address all aspects of the Phase III investment as defined in the ISB's IT Investment Policy and Standards. In addition, the plan will incorporate the following provisions outlining the conditions under which DOC is permitted to expend Phase III funds.

   > The Department of Corrections shall expend funds for the OMNI project only upon the approval of a phased project plan, including milestones, by the Information Services Board.

   > The Department of Corrections shall not expend funds for subsequent phases of the OMNI project until the Secretary of the Department of Corrections and the Director of the Department of Information Services certify to the Information Services Board that established milestones for each prior phase have been completed.

   The 2005 Legislature has approved OMNI Phase III funding for Fiscal Year 2006 in the amount of $11.25 million. Additional OMNI Phase III funding must be requested via the supplemental budget process.

   DOC's request for approval of delegated authority should be made coincident with the Board's approval of the OMNI Phase III Investment Plan.

5. **DOC submit an OMNI Maintenance and Operations Plan for ISB approval.**

6. **DOC establish a deliverables-based contract as the basis for OMNI Phase III.**

7. **DOC provide the ISB information on DOC's evaluation of the National Consortium for Offender Management Systems (NCOMS) as an approach to the OMNI project,**

including its applicability to Washington State's requirements and the decision criteria used in deciding not to pursue this approach.

ISB members have requested this information.

8. **DOC deliver a report to the Board outlining their response to the Governor's Office review of the OMNI project.**

9. **DOC provide the Board an update on the OMNI project at all subsequent ISB meetings until the project is completed.**